A Residents Guide to Urban Areas and Cities in Kenya

...we are now unlocking the potentials of our 47 counties!
A Residents Guide to Urban Areas and Cities in Kenya

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**How to use this Booklet**

This publication takes a simple question and answers approach and is ideal for group discussions. It is to be accompanied by the Constitution of Kenya, 2010, Urban Areas & Cities Act, 2011. A resource version is available on [www.tisa.or.ke](http://www.tisa.or.ke).
1. The Foundations of the New Republic
2. Understanding County Governments
3. The structure and responsibilities of Urban Areas and Cities (U&AC)
4. Participation by Residents in Urban Areas
5. Transitional Provisions
6. Opportunities for Public Engagement in the Education Sector
7. Opportunities for Public Engagement in the Water Sector
8. Opportunities for Public Engagement in the Land Sector

References

Annexes for Curriculum
1. The Foundations of the New Republic

The Constitution is the supreme law of the land and defines the government and governance of a country. Kenya’s Constitution was promulgated on 27th August 2010. Chapter One of the Constitution of Kenya defines the foundations of the country and emphasizes that sovereign power belongs to the people.

Government refers to the law makers, administrators, and judicial officers who control the state at a given time, and to the systems through which they are organised. Government is the means by which state policy is developed and enforced.

The list below gives a list of some of the functions of government. **Can you suggest any additional functions?**

- Maintain law and order.
- Enact policies and legislation to facilitate administration.
- Enforce policies and legislation.
- Protect the rights of citizens.
- Champion the wishes, values and aspirations of citizens.
- Promote individual and private enterprise.
- Aggregate and redistribute resources for the benefit and welfare of all.

Governance is what a government does. It is the act of governing. Governance is the actual management of power and policy. The diagram below demonstrates the qualities of good governance.

Diagram 1: Characteristics of good governance

The table below illustrates some of the stages of Kenya's governance, and demonstrates that as a country Kenya has experienced extreme and rapid changes in a very short time. **What has been the impact of these changes on the nationhood and development of Kenya?**

<table>
<thead>
<tr>
<th>Stages of Kenya’s governance and characteristics of each</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Colonial era:</strong> 1885 - 1962</td>
</tr>
<tr>
<td><strong>Kenyatta Era:</strong> 1963-1978</td>
</tr>
<tr>
<td><strong>Kibaki Era:</strong> 2002-2009 (Old Constitution)</td>
</tr>
<tr>
<td><strong>27th Dec 2007</strong></td>
</tr>
<tr>
<td><strong>27th Aug 2010</strong></td>
</tr>
</tbody>
</table>

Table 1: Stages in Kenya’s Governance
The Constitution of Kenya offers Kenya a new governance era based on a foundation of values. A few examples are given below. *In your view to whom do these values apply most and why?* (Government, citizens, NGO’s, religious, media, donors, business institutions, other.)

<table>
<thead>
<tr>
<th>Values Foundation</th>
<th>Reference in Constitution</th>
</tr>
</thead>
<tbody>
<tr>
<td>National values and principles</td>
<td>Article 10</td>
</tr>
<tr>
<td>Socio economic rights</td>
<td>Article 43</td>
</tr>
<tr>
<td>Principles of land</td>
<td>Article 60</td>
</tr>
<tr>
<td>Principles and Values of Devolution</td>
<td>Articles 174 and 175</td>
</tr>
<tr>
<td>Citizen Participation</td>
<td>Article 1(4), Article 6(2), Article 174(c and d), Article 184(1), Article 196(1), Article 232(1)d, e, f, Fourth Schedule part 2(14), etc</td>
</tr>
<tr>
<td>Leadership and integrity</td>
<td>Chapter 6</td>
</tr>
<tr>
<td>Devolution</td>
<td>Chapter 11</td>
</tr>
<tr>
<td>Values of public service</td>
<td>Article 232</td>
</tr>
<tr>
<td>Principles of public finance</td>
<td>Article 201</td>
</tr>
</tbody>
</table>

Table 2: Values Foundations in the Constitution of Kenya 2010
A Government carries out its responsibilities through three main powers and responsibilities:

**Administrative:** This includes registration of births and deaths, issuing identification cards, licenses, planning;

**Financial:** Government has power to raise revenue and to spend revenue on behalf of its citizens;

**Political:** This is the power to make laws, especially laws on financial matters. This is exercised through elected representatives and appointed public officials.

Decentralisation describes the process through which the central government transfers its powers, functions, responsibilities and finances away from central government to sub-national units or local governments.

**Can you name some decentralisation schemes in Kenya? What are the benefits of decentralised development?**

The table below explains the different types of decentralisation;

<table>
<thead>
<tr>
<th>Types of Decentralisation</th>
<th>Features</th>
</tr>
</thead>
<tbody>
<tr>
<td>Administrative De-concentration</td>
<td>Deconcentration is the weakest form of decentralisation and merely shifts some administrative responsibilities from central government officials to government officials working in regions, provinces or districts. It transfers very little decision making power.</td>
</tr>
<tr>
<td>Administrative Delegation</td>
<td>Delegation transfers specified decision making power responsibilities as well as administrative responsibilities to organisations that are not completely controlled by the central government but ultimately accountable to it.</td>
</tr>
<tr>
<td>Fiscal</td>
<td>Fiscal decentralisation is the transfer of financial responsibilities such as authority to raise revenue, manage and spend the revenue and resources generated locally or granted from central government to the local governments or units.</td>
</tr>
<tr>
<td>Political</td>
<td>Political decentralisation referred to as Devolution involves the transfer of authority for political decision-making, finance and management to units of local government allowing them to make raise their own revenues and have independent authority to make investment decisions.</td>
</tr>
<tr>
<td>Economic/Market</td>
<td>Privatisation Government may opt to decentralise some service delivery aspects to private corporations through service agreements which in turn undertake public service delivery.</td>
</tr>
</tbody>
</table>

Table 3: Types of Decentralisation
What is Devolution?

A devolved system of government assigns sovereignty to national and sub-national governments by distributing power and resources between the levels of government. The Constitution of Kenya establishes a devolved governance system in accordance with the Principles and Values of Devolution in Articles 174 and 175.

Article 1(4) Constitution establishes government at two levels: National and County. Article 6(1) divides the territory of Kenya into 47 counties which are specified in the First Schedule. Article 6(2) states that governments at both levels are distinct and interdependent and shall conduct their mutual relations on the basis of consultation and cooperation.

Distinct: This means that national and county governments are equal to each other, neither is subordinate to the other. They are both created by the constitution, and so neither level of government can abolish the other level of government. This is in contrast to the previous situation where local government have existed at the pleasure of national government.

Interdependent: The two levels are also interdependent so that the actions by one county government will affect the entire county governments and the national government, and the actions of national government will affect all the county governments. They therefore have to make decisions in consideration of each other.

Cooperation and Consultation: The levels of government are expected to cooperate and consult in respective undertakings. This is in contrast to the present style of politics which is adversarial. This implies that intergovernmental mechanisms should provide for ongoing dialogue and continual consultation between the two levels of governmental.

Institutions of County Government in Kenya

The County governments have an executive or administrative arm of government and a legislative arm. The executive arm of County governments is the Governor and the County Executive Committee which will be responsible for the running and management of Counties. They will develop and implement County policy through further institutions created under the County government.

Sample County Assembly Composition for Nairobi County (No 47) e.g. Nairobi County

<table>
<thead>
<tr>
<th>85 wards^3</th>
<th>85 assembly members</th>
</tr>
</thead>
<tbody>
<tr>
<td>Special seats</td>
<td>?</td>
</tr>
<tr>
<td>Speaker</td>
<td>1</td>
</tr>
</tbody>
</table>

Total Number of Assembly Members = 85 + Special seats + Speaker

Each County government will also have a County Assembly consisting of Assembly Members elected from the wards. The County Assemblies will develop County legislation; undertake oversight and approval of plans for development and resource management in the County.

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3 Based on proposed wards of the Preliminary Report on Delimitation of Boundaries, IEBC 9th January 2012
The County Assembly shall conduct its business in an open manner, and hold its sittings and those of its committees, in public; and facilitate public participation and involvement in its legislative and other business, including its committees. It may not exclude the public, or any media, from any sitting unless in exceptional circumstances.

An important feature of devolved government is the bicameral parliament. The National Parliament will comprise the National Assembly and the Senate. Each County will elect one Senator. The purpose of the Senate is to ensure that national legislation and policy does not harm the interests of the Counties. The Senator is therefore the defender of the County.

The Constitution provides special County Assembly and Senate seats to represent the marginalised including women, youth and persons with disabilities (PWD) representatives.

The Constitution also creates shared institutions to serve both levels of government. Chapter 15 recognises ten permanent, independent commissions, the Auditor General and the Controller of Budget offices. The Commission for the Implementation of the Constitution (CIC) is a five year Commission created for the purpose of overseeing and coordinating the implementation process of the Constitution.

<table>
<thead>
<tr>
<th>Institution/Composition</th>
<th>Function</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>County Assembly</strong></td>
<td>Hold legislative authority of County government;</td>
</tr>
<tr>
<td></td>
<td>Make laws necessary for the County Government;</td>
</tr>
<tr>
<td></td>
<td>Exercise oversight over the County executive committee and other County organs;</td>
</tr>
<tr>
<td></td>
<td>Receive and approve County plans and policies;</td>
</tr>
<tr>
<td></td>
<td>Receive the County audit report and take appropriate action.</td>
</tr>
<tr>
<td><strong>County Executive Committee</strong></td>
<td>Hold executive authority of the County government;</td>
</tr>
<tr>
<td>Composed of the county governor, deputy governor and members appointed by county governor, with the approval of the county assembly from amongst people who are not members of the assembly.</td>
<td>Implement county legislation;</td>
</tr>
<tr>
<td></td>
<td>Implement within the county, national legislation to the extent that the legislation so requires;</td>
</tr>
<tr>
<td></td>
<td>Manage and coordinate the functions of the county administration;</td>
</tr>
<tr>
<td></td>
<td>Perform any other functions conferred on it by the Constitution or National legislation;</td>
</tr>
<tr>
<td></td>
<td>Provide the County Assembly with full and regular reports on matters relating to the County.</td>
</tr>
</tbody>
</table>

**Senate Composition**

<table>
<thead>
<tr>
<th>Institution/Composition</th>
<th>Function</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>County Assembly</strong></td>
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<td>Perform any other functions conferred on it by the Constitution or National legislation;</td>
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<tr>
<td></td>
<td>Provide the County Assembly with full and regular reports on matters relating to the County.</td>
</tr>
</tbody>
</table>
Senate

- 47 members each elected by registered voters of the Counties
- 16 women members nominated by political parties proportionately
- 2 members (one man, one woman) representing the youth
- 2 members (one man, one woman) representing persons with disabilities (PWD)
- The Speaker (ex officio member)

- Represent the Counties, and serves to protect the interests of the Counties and their governments;
- Participate in the law making function of parliament by considering, debating and approving Bills concerning counties;
- Determine the allocation of national revenue among Counties and exercises oversight over national revenue allocated to the County governments;
- Participate in the oversight of State Officers by considering and determining any resolutions to remove the President or Deputy President from office.

Table 4: Institutions of County Government

**Functions of County Governments**

The Fourth Schedule of the Constitution sets out the functions and powers of the National and County governments. The National government is assigned broadly; Foreign affairs, defence, macro-economic policy, and policy formulation and standards. County governments are assigned service delivery functions and so will be the main avenue for the realisation of socio-economic rights addressed in Article 43.

Article 186 of the constitution classifies functions as: exclusive, concurrent and residual; exclusive functions are assigned to one level of government, to the exclusion of the other level of government; concurrent functions are conferred on more than one level of government. A power not assigned by the constitution or national legislation is a residual function and is assigned by the national government.

Article 189(1) further states that government at either level shall perform its functions and exercise its powers in a manner that respects the functional and institutional integrity of government at the other level. There will therefore be created institutions for intergovernmental relations to manage the relationship between National and County governments.

Article 187 goes further to allow for the transfer of functions and powers from one level of government to another. It also requires that the receiving level of government is adequately, financially supported. Responsibility for a transferred function remains with the level of government initially responsible for performance of the function under the Constitution.

The constitution provides for further decentralisation below the county level.

Optional group work exercise:

Using the Fourth Schedule identify services of interest to you and discuss which level government is responsible for delivery of the service.
Article 184 of the constitution provides that urban areas and cities shall be governed separately. This is to allow improved management, growth and employment creation in these areas. The Urban Areas and Cities Act 2011, deals with the establishment and governance of urban areas. Section 11 of the Act provides principles for the governance of urban areas. These include:

- Recognition and respect for Constitutional status of County Governments;
- Recognition of the principal and agency relationship between Counties and UA&C
- Boards carry out functions on behalf of Counties;
- Accountability to County and residents;
- Institutionalised active participation of residents in management of UA&C
- Efficient and effective delivery of services;
- Clear assignment of functions

Part II of the Act provides that urban areas shall be classified into Cities, Municipalities or Towns based on several criteria. It provides a formal way in which urban areas can apply for and be conferred an improved status. The advantage of an improved status is that the urban area is granted increased say in the management of its resources and services. The criteria for classification include:

i) Population

ii) Existence of urban area or city development plan

iii) Capacity to generate income for sustainability

iv) Prudent management

v) Effectiveness and efficiency in delivery of services

vi) Availability of infrastructural facilities such as roads

vii) Capacity for effective waste disposal
City and Municipality Boards

Urban areas that qualify as Cities and Municipalities will be managed under a City or Municipal corporate Board. The Board will function as an agency of the County government and will be accountable to the governor and the county residents. The Board will have powers to raise revenue and make financial spending decisions. Urban Boards will be responsible for service delivery under the urban area. They will have the executive authority at the City or Municipal level and will manage and implement City or Municipal plans. They will direct the City or Municipal Manager.

The day to day administration of the Cities and Municipalities will be the responsibility of a competitively recruited City or Municipal manager who will be answerable to the Board. The day to day administration of towns will be through a competitively recruited Town manager answerable to the County Executive Committee.

Management Structure of Urban Areas

The Boards will deliver services directly through urban departments or through service agreements with private service delivery companies. All service agreements must be approved by the County Assembly. All spending must be informed by sound planning also provided in Part 5 of the Act. Staff of the Cities or Municipalities will be recruited through the County Public Service. Each County will have a County public service to undertake its recruitment.
Composition of Urban Boards

The Urban Areas and Cities Act (Section 13, 14 and 20) provides for the establishment and composition of urban Boards.

Board composition in Cities: Not more than 11 members; 6 appointed through a competitive process by the County public service, 5 nominated by eligible groups.

Board composition in Municipalities: Not more than 9 members to manage Municipalities; 4 appointed through a competitive process by the County public service and 5 nominated by eligible groups.

The groups eligible to nominate members to the board include:

1. An umbrella body representing professional associations in the area;
2. An association representing the private sector in the area;
3. A cluster representing registered associations of the informal sector in the area;
4. A cluster representing registered neighbourhood associations in the area; and
5. An association of urban areas and cities.

Functions of Urban and City Areas

<table>
<thead>
<tr>
<th>City-(Population at least 500,000)</th>
<th>Planning and Development Control</th>
<th>Regional Radio Station</th>
<th>Financial Hub</th>
</tr>
</thead>
<tbody>
<tr>
<td>Traffic Control and Parking</td>
<td>Community Radio</td>
<td>Museum</td>
<td></td>
</tr>
<tr>
<td>Water and Sanitation</td>
<td>Casinos</td>
<td>Historical Monument</td>
<td></td>
</tr>
<tr>
<td>Street Lighting</td>
<td>Funeral Parlour</td>
<td>Fire Station</td>
<td></td>
</tr>
<tr>
<td>Outdoor Advertising</td>
<td>Cemetery</td>
<td>Emergency Preparedness</td>
<td></td>
</tr>
<tr>
<td>Cemeteries and Crematoria</td>
<td>Recreational Parks</td>
<td>Postal services</td>
<td></td>
</tr>
<tr>
<td>Public Transport</td>
<td>Management of Markets</td>
<td>National TV station</td>
<td></td>
</tr>
<tr>
<td>Libraries</td>
<td>Marine Water front</td>
<td>National Radio Station</td>
<td></td>
</tr>
<tr>
<td>Storm Drainage</td>
<td>Animal control and welfare</td>
<td>Regional Radio Station</td>
<td></td>
</tr>
<tr>
<td>Ambulance Services</td>
<td>Religious Institution</td>
<td>Community Radio</td>
<td></td>
</tr>
<tr>
<td>Heath Facilities</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Fire Fighting and Disaster Management</td>
<td>Municipalities - (Population at least 250,000)</td>
<td>Funeral Parlour</td>
<td></td>
</tr>
<tr>
<td>Control of Drugs</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sports and Cultural Activities</td>
<td>Planning and Development Control</td>
<td>Recreational Parks</td>
<td></td>
</tr>
<tr>
<td>Electricity and Gas Reticulation</td>
<td>Traffic Control and Parking</td>
<td>Management of Markets</td>
<td></td>
</tr>
<tr>
<td>Abattoirs</td>
<td>Water and Sanitation</td>
<td>Marine Water front</td>
<td></td>
</tr>
<tr>
<td>Refuse Collection</td>
<td>Street Lighting</td>
<td>Animal control and welfare</td>
<td></td>
</tr>
<tr>
<td>Solid waste management</td>
<td>Outdoor Advertising</td>
<td>Religious Institution</td>
<td></td>
</tr>
</tbody>
</table>

4 Classification of Cities and Towns by services –UACA2011, First Schedule, RoK
<table>
<thead>
<tr>
<th>Service Type</th>
<th>Function 1</th>
<th>Function 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Air pollution</td>
<td>Cemeteries and Crematoria</td>
<td>Organised Public Transport</td>
</tr>
<tr>
<td>Child Care Facilities</td>
<td>Public Transport</td>
<td></td>
</tr>
<tr>
<td>Pre-Primary Education</td>
<td>Libraries</td>
<td></td>
</tr>
<tr>
<td>Local Distributor Roads</td>
<td>Storm Drainage</td>
<td></td>
</tr>
<tr>
<td>Conference Facilities</td>
<td>Ambulance Services</td>
<td>Street Lighting</td>
</tr>
<tr>
<td>Community Centres</td>
<td>Heath Facilities</td>
<td>Cemeteries and Crematoria</td>
</tr>
<tr>
<td>Five Star Hotel</td>
<td>Fire Fighting and Disaster Management</td>
<td>Libraries</td>
</tr>
<tr>
<td>Guest Houses</td>
<td>Control of Drugs</td>
<td>Health Facilities</td>
</tr>
<tr>
<td>National Hospital</td>
<td>Sports and Cultural Activities</td>
<td>Sports and Cultural Activities</td>
</tr>
<tr>
<td>Referral hospital</td>
<td>Electricity and Gas Reticulation</td>
<td>Abattoirs</td>
</tr>
<tr>
<td>County Hospital</td>
<td>Abattoirs</td>
<td>Refuse Collection</td>
</tr>
<tr>
<td>University</td>
<td>Refuse Collection</td>
<td>Solid waste management</td>
</tr>
<tr>
<td>Constituent University Campuses</td>
<td>Solid waste management</td>
<td>Air noise</td>
</tr>
<tr>
<td>Polytechnic</td>
<td>Air noise</td>
<td>Child Care Facilities</td>
</tr>
<tr>
<td>Training Institution</td>
<td>Child Care Facilities</td>
<td>Pre-Primary Education</td>
</tr>
<tr>
<td>National School</td>
<td>Pre-Primary Education</td>
<td>Community Centres</td>
</tr>
<tr>
<td>County School</td>
<td>Local Distributor Roads</td>
<td>Guest Houses</td>
</tr>
<tr>
<td>Stadium</td>
<td>Conference Facilities</td>
<td>Homestays</td>
</tr>
<tr>
<td>National Stadium</td>
<td>Community Centres</td>
<td>Polytechnic</td>
</tr>
<tr>
<td>International Airport</td>
<td>Hotel Homestays</td>
<td>Training Institution</td>
</tr>
<tr>
<td>Airport</td>
<td>Guest Houses</td>
<td>County School</td>
</tr>
<tr>
<td>Airstrip</td>
<td>County Hospital</td>
<td>Airstrip</td>
</tr>
<tr>
<td>National Theatre</td>
<td>Constituent University Campuses</td>
<td>Unclassified roads</td>
</tr>
<tr>
<td>Theatre</td>
<td>Polytechnic</td>
<td>Museum</td>
</tr>
<tr>
<td>Library Service</td>
<td>Training Institution</td>
<td>Historical Monument</td>
</tr>
<tr>
<td>Administrative Seat</td>
<td>National School</td>
<td>Postal services</td>
</tr>
<tr>
<td>Financial Hub</td>
<td>County School</td>
<td>Regional Radio Station</td>
</tr>
<tr>
<td>Diplomatic Hub</td>
<td>Municipal Stadium</td>
<td>Community Radio</td>
</tr>
<tr>
<td>Consulate</td>
<td>Stadium</td>
<td>Funeral Parlour</td>
</tr>
<tr>
<td>Museum</td>
<td>Airport</td>
<td>Cemetery</td>
</tr>
<tr>
<td>Historical Monument</td>
<td>Airstrip</td>
<td>Recreational Parks</td>
</tr>
<tr>
<td>Fire Station</td>
<td>National Theatre</td>
<td>Management of Markets</td>
</tr>
<tr>
<td>Emergency Postal services</td>
<td>Theatre</td>
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</tr>
<tr>
<td>National TV station</td>
<td>Library Service</td>
<td>Animal control and welfare</td>
</tr>
<tr>
<td>National Radio Station</td>
<td>Administrative Seat</td>
<td>Religious Institution</td>
</tr>
</tbody>
</table>

Table 5: Classification of Urban Areas by Function.
Questions for Group Deliberation

1. Based on the criteria of the Act does your urban area qualify as a city, municipality or town?
2. What are the strengths of the urban areas governance structures?
3. What are some of the likely challenges of governance of urban areas and how can these be addressed?
4. How can residents ensure that Board representatives represent the genuine interests of local communities?
4. Participation by Residents in Urban Areas

Public participation is a fundamental pillar of the new dispensation of governance in Kenya. Article 1 (4), Article 6 (2), Article 174 (c and d), Article 184 (1), Article 196 (1), Article 232 (1) d, e, f, Fourth Schedule Part 2 (14), gives citizens the right to manage their own affairs and further their development and the right of participation in the exercise of powers of the state and in making decisions that affect them.

Rights of Residents

The Section 22 and the second schedule of the Urban Areas Act, 2011 give residents the right to:

1. Contribute to the decision-making processes of the city or urban area by written or oral submissions to the city or municipal manager or town administrator; and to receive prompt responses to their written or oral communications;
2. Be informed of decisions of a board, affecting residents’ rights and property, etc.
3. Regular disclosure of the state of affairs of the city or urban area, including its finances;
4. The use and enjoyment of public facilities; and
5. Have access to services which the city or municipality provides.

Resident Engagement in Affairs of the Urban Area

The Act places a responsibility on the Board to establish means by which residents can participate in the Board’s affairs in:

1. The preparation, implementation and review of the integrated development plan, its performance management system and monitoring system;
2. The preparation of its budget; and
3. Making of strategic decisions relating to delivery of service;
4. Contribute to building the capacity of residents and officials of the urban area and provide funds for the promotion of community participation;
Methods of Resident Engagement

The Board is required to establish means for

1. receiving, processing and responding to petitions from residents
2. notifying residents of important events
3. consultative sessions with local groups
4. reporting to residents and
5. Make provision for people who cannot read or write; people with disabilities; youth; gender equity; and minority and marginalised groups.

Access to Information

Section 24 of the Act requires the Board to publish and publicize important information affecting the City or Urban area, and to establish a system for the receipt and processing of requests for information.

Residents Associations

Residents’ associations⁵ are organisations formed by groups of people from a specific geographic community who come together to address issues within their local area and act as a voice for their local community. The majority of associations are structured to include a chair, vice-chair, secretary, treasurer and committee members. They are usually formally registered. These positions are decided by way of nominations. Some associations meet to address one specific issue while others hope to address a wider spectrum of matters. Membership of a residents’ association is normally open to all local people residing in a defined area. They represent the interests particularly of those who are socially excluded because of ill health, low income, language and cultural differences, or age.

Establishing an effective Residents Association – Requirements and Procedure

Requirements:

1. The structure of the organisation must be well stipulated in terms of the office bearers.
2. There should be a democratic process of leadership. There should be integrity and accountability in leadership.
3. There must be demand for service delivery from the service providers.
4. The association should have the capacity mobilize their own resources for sustainability.
5. Membership should be all inclusive such that there is no discrimination in terms gender, age, income level, education level or tribal background.
6. The association should be registered.

⁵ http://en.wikipedia.org/wiki/Residents’_association
Procedure for registration:

For an association to be registered; the following items should be submitted:

1. Minutes of previous meetings and constitution of the association
2. A list of names of the members of the association
3. Membership subscription towards sustainability of the association.
4. Cash amounting to Kshs. 15,000

After successful submission of the above; the association is then registered and awarded with a Certificate of Registration six months later.

Characteristics of a successful Resident Association

For a residents association to be effective; the following characteristics must be present

1. Full community participation
2. Disciplined members
3. Active bank account
4. Visible achievements
5. Minimal complains
Issues for Group Deliberation

1. How would you like your area prepared to in the opportunities of the urban areas act?

2. Is there a neighborhood or residents association in your area? How effective is it? How can it be made more effective?

3. If not, how can you contribute towards the establishment of a neighborhood or residents associations in your ward?

4. What are the principles and values of effective residents/neighborhood associations?

5. How does/can your residents association support its activities?
5. Transitional Provisions

**Schedule 6 Part 4 of the Constitution** provides for the phased transfer of functions to County Governments over a period of not more than three years from date of election of County Assemblies, from National to County government.

The Transition to Devolved Government Bill 2012 once passed will establish a Transition Authority to coordinate the transition to the devolved system of government as provided in the Constitution. The Authority shall address issues such as the costing of Counties and transfer of functions to ensure there is no disruption to services.

The Urban Areas and Cities Act provides for the transfer of Local Authorities into the County Governments. It provides for the transfer of:

1. Rights, assets and liabilities
2. Repeal of the Local Government Act (Cap. 265)
3. All by-laws, directions, resolutions, orders and authorizations given by by-laws, licenses or permits issued by the local authorities established, under the Local Government Act
4. Staff, officers, etc.

5. Existing contracts, etc.

6. Pending actions and proceedings.

7. Capacity building of official institutions and civic education to build citizen capacity to engage;

8. It confers city status on Mombasa and Kisumu

**Question for Group Deliberation**

How can areas residents engage in the transition process?
Article 43 (f) of the Constitution of Kenya Education places the burden of the provision of education on the government. The fourth schedule assigns the National government functions of policy, standards, examinations, granting of university charters, primary, secondary, tertiary and special education. County governments will be responsible for pre-primary and vocational institutions.

**Governance of the Education Sector:**

The governance structure of the education sector at present is as follows. The Minister is the overall policymaking organ with functions of coordination and promotion. The District Education Board (DEB): Implements education policy at district and made up of appointees of the Minister. Local Authorities (LAs) have been entrusted by Minister to run schools within their jurisdictions.

Board of Governors (BOG) and School Management Committees (SMCs) are the main institutions of governance in public schools- members of BOG are appointed by The Minister. SMCs are appointed by Local Authorities and District Education Boards (DEBs). The Teachers Service Commission (TSC) acts as regulator and employer of teachers. Under the Constitution the TSC is now a constitutional independent commission, Art 248 (2).

**Realignment of the Education Sector to the Constitution**

In February of 2011, The Minister for Education (MoE) established a Task Force on the re-alignment of the education sector to the New Constitution and Vision 2030. On Feb 2012, the Taskforce submitted its report to the Minister of Education. The Key recommendations with a bearing on devolution include:

1. Repeal of the Education Act Cap. 211 (1968) to mainstream ECDE (4-5 years) into Basic Education and link the institutions with primary education level of Basic Education to meet the constitutional requirements.(4-18 yrs)

2. a County Education Board (CEB) with a clear mandate to provide policy and governance oversight on education matters at the county

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6 Section drawn from presentation by Wambua Kituku entitled Reform Imperatives on Education Management in Nairobi County prepared for The Institute for Social Accountability, October 2011

7 Interim Report on Task Force on the re-alignment of the education sector to the New Constitution (November 2011 draft)
The Ministry of Higher Education Science and Technology established a taskforce in September of 2011 to realign university education, technical, industrial, vocational and entrepreneurship training, science, technology and innovation sectors with the constitution. This process is ongoing.

### Education Delivery in Nairobi County

<table>
<thead>
<tr>
<th>Number of Schools:</th>
<th>Pre-Primary</th>
<th>Primary Education</th>
<th>Secondary education:</th>
<th>Vocational Centers</th>
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<td>8 village polytechnics</td>
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<td>Private 2,328</td>
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<td>Private: 283</td>
<td></td>
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<tr>
<td>NFE: 614</td>
<td>NFE: 640</td>
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<td>Public 70,890</td>
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<td>26,755 boys</td>
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<td>Private 221,236</td>
<td>Private: 118,000</td>
<td>22973 girls</td>
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<td>NFE: 90,997</td>
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<td>Trained: 14,837</td>
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<td>Teachers: 1003</td>
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<tr>
<td>Untrained: 6,840</td>
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<td>Pupil: Teacher ratio 14:1</td>
<td>Shortfall teachers 1,219</td>
<td>Shortfall of 240 teachers</td>
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<td>Shortfall of 240 teachers</td>
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<tr>
<td>NFE: 614</td>
<td>NFE: 640</td>
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</tbody>
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8 NFE: Non formal education institutions
9 ECDC- Early Childhood Development Centre
1. Policy Making: The Ministry needs to establish a framework that includes all stakeholders in policy making from national to county level. This can be strengthened through Education Stakeholders Forum AND Education Advisory Council in policy making through regular consultative meetings.

2. Citizen bodies: Ensure the new Act democratises citizen bodies through the formal recognition of Parent Teacher Association (PTA), School Management Committees (SMC’s), Education Stakeholder Forum and Education Advisory Council in schools management. The method of appointment of their membership should be left to citizen stakeholders. Board of Governors should be appointed by parents. Reporting responsibilities should be clearly outlined to ensure the accountability of these institutions.

3. The mandate of Teachers Service Commission (TSC) should be unbundled in a manner that secures separation of roles of regulation and employer; TSC should be devolved to County level and the framework should provide for transparency of TSC through regular public reporting.

4. With such a high number of Non Formal Education (NFE) schools, there is a strong justification for more public investment in slums and informal settlements with a view to either formalising these schools or providing adequately for children studying in them. The legal definition and status of Non Formal Education (NFE) schools should be established and a plan to bring the NFEs into the formal system made as a means of ensuring children in informal settlements get quality education.

5. National Government should delegate running of city schools to the County Government which may in turn delegate to the City Board or Municipal Board with a clear policy framework being in place.

6. The County Governments through the City Boards should allocate more resources to pre-primary education to ensure construction of more schools and hiring of more teachers.

7. More resources should be allocated to village polytechnics to equip youth with the skills they need for employment.

8. Construction of schools should be construed as County Public Works hence a function of City Board. Nairobi should seek equalization fund (for it’s slum areas) in order to carry out this.

Discussion Points:

1. How can your local community engage in education reforms to ensure the area interests are addressed?

2. How can the new institutions of governance be made more accountable to parents and public stakeholders?
7. Opportunities for Public Engagement in the Water Sector

The Bill of Rights of the Constitution of Kenya, Article 43(1d) states that; every person has the right to clean and safe water in adequate quantities. Every water resource shall be held by the National government in trust for the people of Kenya. The management of water resources shall be undertaken by or under the authority of the National Land Commission and by the County governments. The Commission shall, as an agent of the National government, regulate the management and use of water resources.

The management of water is complex and challenging. Under the Water Act 2002, The Ministry of Water and Irrigation is responsible for the development of legislation, policy and strategy formulation, sectors co-ordination, guidance, monitoring and evaluation and resource mobilisation for the sector.

Water provision and resource management are undertaken under separate frameworks. On the water supply side, regulation is undertaken by Water Service Regulation Board (WASREB) which issues licences to the water service boards, sets and monitors standards for water delivery and develops guidelines for water provisions on issues such as tariffs.

Water service Boards such as Athi Water Service Board are responsible for contracting water service providers through service agreements, development of water and sewer facilities, procurement and lease of water and sewer facilities.

Water Service Providers such as the Nairobi Water Company operate under a service agreement and are responsible for direct provision of water to consumers and maintenance of good customer relations, as well as maintenance of assets.

Challenges Facing the County Governments in Water Service Delivery

Kenya is classified among the most water scarce countries in the world. For instance, Nairobi City faces a rapidly increasing city population against a backdrop of dwindling water sources. The Athi Water Services Board (AWSB), puts daily demand for water by Nairobi consumers at 750,000 cubic meters a day against the current supply capacity of 530,000 cubic meters; that leaves a daily deficit of up to 220,000 cubic meters. This means that it is not possible to supply all residents’ sufficient water for their daily needs forcing rationing.

Water scarcity affects informal settlements and high density areas more adversely as they are most affected by water shortages. County governments will have to establish ways to build up water resources in Nairobi and to ensure equal access to all residents irrespective of economic status and residence.

From presentation by Rose Lukalo-Owino and notes by Boniface Muthee of Kangemi Water Action Group
Also contributing to the water shortages are non-revenue water (NRW) due to illegal connections, frequent bursts and leakages. Illegal connections are especially acute due to illegal water cartels which prevent service providers from entering into informal settlements, causing residents of informal settlements to pay more for water. County governments will have to determine how to get into informal settlements to secure water provision for the poor amidst resistance from illegal cartels which profit unduly from the ignorance of residence.

Most residents are also not aware of their rights under the service agreements of their water service provider and so are not able to quickly report and demand action in the case of bursts and leakages.

Bribery and corruption have been identified as one of the biggest headaches facing the sector and denies water services to citizens and consumers.

**Opportunities for Engagement:**

1. **Water Action Group (WAGs)**

   Consumer protection is one key mandate of the water service regulator (WASREB) which has to ensure that there is effective consumer participation in the water regulatory process. Consumer participation is essential to hold the service providers accountable for water service delivery and provide the checks and balances required to ensure that the regulator also fulfils its mandate.

   WAGs have been operational in parts of the Nairobi, Mombasa and Kisumu and their objectives are: To sensitise residents on their responsibilities and rights in the water sector; to facilitate provider-citizen engagement; monitor and follow up on resolution of complaints; promote resident participation in sector plans and investments; engage in meetings between the Boards and regulator (WASREB).

   WAGs in Nairobi have been successful in the promotion of referencing—Water companies embraced the idea of issuing a reference number to help WAG members or consumers to follow up on their complaints. WAGs were able to facilitate for community engagement to improve services of water in Kayole Soweto and Ndumbu-ini in Uthiru. WAGs were also successful in areas of sewerage improvement in Kangemi and Tassia estate.

   The Kisumu Residents Water Association (KISREWA) is an umbrella organization of 53 estates that deals with matters affecting residents. They also hold Complaints Discussion Forums when complaints have been registered with service providers and there has been no action taken. The Water Service Providers are called upon to give an account for the delays. Complaints Discussion Forums are held once a week. They also hold stakeholders forums after every three months and address weightier issues. They have been successful in creating awareness, resolution of citizen complaints, and in increased accountability by water officials. Their main challenge is how to finance their operations.

2. **A new Water Bill is in development:**

   There is need for public contribution into the process to ensure public interests especially the right to water for all is addressed.
3. **Water Service in Informal Settlements**

Informal settlements largely receive water from water vendors who are not licensed by the water service providers. As such this water is more expensive and may be unhygienic. There is need for affected settlements to reach out to the water service providers and establish a means in which water supply to their area can be achieved.

4. **Water Conservation**

Due to dwindling water resources there is need for all stakeholders to develop ways to conserve water through day to day practices and investments such as water harvesting and responsible water use. A water campaign between the water service providers and regulators and the public can be used towards this goal.

5. **Public Sensitisation**

All water service companies also operate under service charters. By understanding these charters residents can demand their water rights more effectively from the water company in their service area.

6. **Memoranda**

Resident groups can develop memoranda to go to the relevant institution with their demands. Once the Counties are in place these memoranda should be shared with the Board to ensure it prioritises these issues.

**Discussion points**

1. Does your area receive water? Are you satisfied with the service in your area?

2. How can residents in your area engage more effectively with the water service company?

3. Does your area have an active WAG and how can it be effective in pushing for improved water delivery?
Kenya’s land problems are rooted in its colonial history and magnified by the refusal of successive governments to deal comprehensively with land reforms. Pressing problems in urban areas include: high cases of grabbed public land, high numbers living in informal settlements, flouting of bylaws in land use, corruption and mismanagement at lands registry, ineffective district land boards, and poor regulatory framework.

Land and Environment are provided for in the new Constitution enacted on 27th August, 2010. Chapter Five of the Constitution spells out the principles of land policy and management. Article 61 of the constitution states that “…All land in Kenya belongs to the people of Kenya collectively as a nation, as communities and as individuals.” The new constitution in a great way has reinforced the National Land Policy No: 3 of 2009.

Objectives of the National Land Policy (2009) are:

1. All citizens, particularly the poor, with the opportunity to access and beneficially occupy and use land;

2. Economically viable, socially equitable and environmentally sustainable allocation and use of land; and

3. Efficient, effective and economical operation of the land market;

4. Efficient and effective utilization of land and land based resources;

5. Efficient and transparent land dispute mechanisms.

The Constitution of Kenya demarcates the functions of National and County governments. It provides for legislation to establish a National Land Commission which will among other things administer and manage all public land for both national and county governments.

Land Reform Imperatives

1. The completion of the Land Bill, Land Commission Bill and Land Registration bill are delayed due to weak provision in the draft bills which non state actors have criticized and rejected. These bills once enacted will pave the way for the critical Land Commission and land reforms which will allow citizens to obtain solutions on land matters. However there appears to be great resistance from government to open up land as envisioned in the Constitution of Kenya.
2. Each County needs to make an inventory of all public utility land, their purpose, manner of acquisition and current status before the County Government takes over the assets and liability of local authorities and Nairobi County in particular. Every Local Authority should compile data on assets and public utility areas such as playgrounds, stadiums, water reservoir grounds; treatment plants, public social halls; public cemeteries and such other areas surrendered to the Local Authority for public use.

3. The Ministry of Lands should provide and inventory of the lapsed leases and how it shall treat the subject of renewal of leases. Publicise the number of foreign owned land, their status, if freehold or leasehold and when such leases are due for expiry.

4. Ministry of Local Government to undertake sensitization on the Land and Environment Act, 2011 which has been enacted and is now operational and has opportunities for citizen engagement.

Discussion points:

1. How can resident groups influence the land reforms in the country?

2. How can resident groups engage effectively with their Local Authority during the transition stage?
References


2. Urban Areas and Cities Act 2011,

3. TISA devolution workshop: Nairobi County Reform Imperatives for Education, Land, and Water Sectors, Thursday 6th October - Friday 7th October, 2011, Kolping Centre, Magadi Road; Thursday 9th February-10th February 2012 presentations and papers

   - Prof. Winnie V. Mitullah (Urban Areas and Cities Act No.13 of 2011 and Transition Imperatives);
   - Dr. Julius M. Malombe (Kenya’s Devolved Government: Paradigm shift and Implications for National and County Governments Public Financial Management);
   - Wanjiru Gikonyo (Devolution under CK2010: Status of Implementation);
   - Kinuthia Wamwangi (Citizen Participation under County Governments);
   - Wambua Kituku (Reform Imperatives on Education Management in Nairobi County);
   - Rose Lukalo-Owino (Reform Imperatives on Water Management in Nairobi County);
   - J.N Burugu (Imperatives of Land Reforms in Nairobi County);
   - Collins Kowuor (Service Delivery Imperative-Land Sector);
   - Collins Kowuor (Citizen Engagement within the land sector: in the context of Urban Areas and Cities Act, 2011)
   - Sareen Malik (Civic engagement in the water sector) tools, methods, approaches;
   - Muthoni-Ouko (Education reforms & citizen engagement opportunities).
   - Pascaline Mulwa (Understanding Government)
CHAPTER ONE—SOVEREIGNTY OF THE PEOPLE AND SUPREMACY OF THIS CONSTITUTION

Sovereignty of the people

(4) The sovereign power of the people is exercised at—
   (a) The national level; and
   (b) The county level.

CHAPTER TWO—THE REPUBLIC

Devolution and access to services

6. (2) The governments at the national and county levels are distinct and inter-dependent and
   shall conduct their mutual relations on the basis of consultation and cooperation.

10. (1) The national values and principles of governance in this Article bind all State organs,
    State officers, public officers and all persons whenever any of them—
       (a) Applies or interprets this Constitution;
       (b) Enacts, applies or interprets any law; or
       (c) Makes or implements public policy decisions.

   (2) The national values and principles of governance include—
       (a) Patriotism, national unity, sharing and devolution of power, the rule of law, democracy
           and participation of the people;
       (b) Human dignity, equity, social justice, inclusiveness, equality, human rights, non-
           discrimination and protection of the marginalised;
       (c) Good governance, integrity, transparency and accountability; and
       (d) Sustainable development.

CHAPTER FOUR—THE BILL OF RIGHTS

Economic and Social Rights

43. (1) Every person has the right—
       (a) To the highest attainable standard of health, this includes the right to health care
           services, including reproductive health care;
       (b) To accessible and adequate housing, and to reasonable standards of sanitation;
       (c) To be free from hunger, and to have adequate food of acceptable quality;
       (d) To clean and safe water in adequate quantities;
       (e) To social security; and
       (f) To education.
(2) A person shall not be denied emergency medical treatment.

(3) The State shall provide appropriate social security to persons who are unable to support themselves and their dependants.

CHAPTER FIVE—LAND AND ENVIRONMENT

Principles of Land Policy

60. (1) Land in Kenya shall be held, used and managed in a manner that is equitable, efficient, productive and sustainable, and in accordance with the following principles—
   
   (a) Equitable access to land;
   
   (b) Security of land rights;
   
   (c) Sustainable and productive management of land resources;
   
   (d) Transparent and cost effective administration of land;
   
   (e) Sound conservation and protection of ecologically sensitive areas;
   
   (f) Elimination of gender discrimination in law, customs and practices related to land and property in land; and
   
   (g) Encouragement of communities to settle land disputes through recognised local community initiatives consistent with this Constitution.

(2) These principles shall be implemented through a national land policy developed and reviewed regularly by the national government and through legislation.

CHAPTER SIX—LEADERSHIP AND INTEGRITY

Responsibilities of Leadership

73. (1) Authority assigned to a State officer—
   
   (a) Is a public trust to be exercised in a manner that—
      
      (i) Is consistent with the purposes and objects of this Constitution;
      
      (ii) Demonstrates respect for the people;
      
      (iii) Brings honour to the nation and dignity to the office; and
      
      (iv) Promotes public confidence in the integrity of the office; and
      
   b) Vests in the State officer the responsibility to serve the people, rather than the power to rule them.

(2) The guiding principles of leadership and integrity include—
   
   (a) Selection on the basis of personal integrity, competence and suitability, or election in free and fair elections;
   
   (b) Objectivity and impartiality in decision making, and in ensuring that decisions are not influenced by nepotism, favouritism, other improper motives or corrupt practices;
   
   (c) Selfless service based solely on the public interest, demonstrated by—
      
      (i) Honesty in the execution of public duties; and
      
      (ii) The declaration of any personal interest that may conflict with public duties;
   
   (d) Accountability to the public for decisions and actions; and
   
   (e) Discipline and commitment in service to the people.
CHAPTER ELEVEN—DEVOLVED GOVERNMENT

PART 1—OBJECTS AND PRINCIPLES OF DEVOLVED GOVERNMENT

Objects of Devolution

174. The objects of the devolution of government are—
(a) To promote democratic and accountable exercise of power;
(b) To foster national unity by recognising diversity;
(c) To give powers of self-governance to the people and enhance the participation of the people in the exercise of the powers of the State and in making decisions affecting them;
(d) To recognise the right of communities to manage their own affairs and to further their development;
(e) To protect and promote the interests and rights of minorities and marginalised communities;
(f) To promote social and economic development and the provision of proximate, easily accessible services throughout Kenya;
(g) To ensure equitable sharing of national and local resources throughout Kenya;
(h) To facilitate the decentralisation of State organs, their functions and services, from the capital of Kenya; and
(i) To enhance checks and balances and the separation of powers.

Principles of Devolved Government

175. County governments established under this Constitution shall reflect the following principles—
(a) County governments shall be based on democratic principles and the separation of powers;
(b) County governments shall have reliable sources of revenue to enable them to govern and deliver services effectively; and
(c) No more than two-thirds of the members of representative bodies in each county government shall be of the same gender.

PART 2—COUNTY GOVERNMENTS

County Governments

176. (1) There shall be a county government for each county, consisting of a county assembly and a county executive.
(2) Every county government shall decentralise its functions and the provision of its services to the extent that it is efficient and practicable to do so.

Membership of County Assembly

177. (1) A county assembly consists of—
(a) members elected by the registered voters of the wards, each ward constituting a single member constituency, on the same day as a general election of Members of Parliament, being the second Tuesday in August, in every fifth year;
(b) The number of special seat members necessary to ensure that no more than two-thirds
of the memberships of the assembly are of the same gender;
(c) The number of members of marginalised groups, including persons with disabilities and
the youth, prescribed by an Act of Parliament; and
(d) The Speaker, who is an ex officio member.

(2) The members contemplated in clause (1) (b) and (c) shall, in each case, be nominated by
political parties in proportion to the seats received in that election in that county by each
political party under paragraph (a) in accordance with Article 90.
(3) The filling of special seats under clause (1) (b) shall be determined after declaration of
elected members from each ward.
(4) A county assembly is elected for a term of five years.

Speaker of County Assembly

178. (1) Each county assembly shall have a speaker elected by the county assembly from among
persons who are not members of the assembly.
(2) A sitting of the county assembly shall be presided over by—
(a) The speaker of the assembly; or
(b) In the absence of the speaker, another member of the assembly elected by the assembly.
(3) Parliament shall enact legislation providing for the election and removal from office of
speakers of the county assemblies.

County Executive Committees

179. (1) The executive authority of the county is vested in, and exercised by, a county executive
committee.
(2) The county executive committee consists of—
(a) The county governor and the deputy county governor; and
(b) Members appointed by the county governor, with the approval of the assembly, from
among persons who are not members of the assembly.
(3) The number of members appointed under clause (2) (b) shall not exceed—
(4) The county governor and the deputy county governor are the chief executive and deputy chief executive
of the county, respectively.
(5) When the county governor is absent, the deputy county governor shall act as the county
governor.
(6) Members of a county executive committee are accountable to the county governor for the
performance of their functions and exercise of their powers.
(7) If a vacancy arises in the office of the county governor, the members of the county executive
committee appointed under clause
(2) (b) Cease to hold office.

Election of County Governor and Deputy County Governor

180. (1) The county governor shall be directly elected by the voters registered in the county,
on the same day as a general election of Members of Parliament, being the second Tuesday in
August, in every fifth year.
(2) To be eligible for election as county governor, a person must be eligible for election as a
member of the county assembly.
(3) If only one candidate for county governor is nominated, that candidate shall be declared
elected.
(4) If two or more candidates are nominated, an election shall be held in the county and the candidate who receives the greatest number of votes shall be declared elected.
(5) Each candidate for election as county governor shall nominate a person who is qualified for nomination for election as county governor as a candidate for deputy governor.
(6) The Independent Electoral and Boundaries Commission shall not conduct a separate election for the deputy governor but shall declare the candidate nominated by the person who is elected county governor to have been elected as the deputy governor.
(7) A person shall not hold office—
   (a) As a county governor for more than two terms;
   (b) As a deputy county governor for more than two terms.
(8) For the purposes of clause (7), a person who has assumed the office of county governor shall be deemed to have served a full term, subject only to Article 182 (3) (b).

Removal of County Governor

181. (1) A county governor may be removed from office on any of the following grounds—
   (a) Gross violation of this Constitution or any other law;
   (b) Where there are serious reasons for believing that the county governor has committed a crime under national or international law;
   (c) Abuse of office or gross misconduct; or
   (d) Physical or mental incapacity to perform the functions of office of county governor.
(2) Parliament shall enact legislation providing for the procedure of removal of a county governor on any of the grounds mentioned in clause (1).

Vacancy in office of County Governor

182. (1) The office of the county governor shall become vacant if the holder of the office—
   (a) Dies;
   (b) Resigns, in writing, addressed to the speaker of the county assembly;
   (c) Ceases to be eligible to be elected county governor under Article 180 (2);
   (d) Is convicted of an offence punishable by imprisonment for at least twelve months; or
   (e) Is removed from office under this Constitution.
(2) If a vacancy occurs in the office of county governor, the deputy county governor shall assume office as county governor for the remainder of the term of the county governor.
(3) If a person assumes office as county governor under clause (2) the person shall be deemed for the purposes of Article 180 (7)—
   (a) to have served a full term as county governor if, at the date on which the person assumed office, more than two and a half years remain before the date of the next regularly scheduled election under Article 180 (1); or
   (b) Not to have served a term of office as county governor, in any other case.
(4) If a vacancy occurs in the office of county governor and that of deputy county governor, or if the deputy county governor is unable to act, the speaker of the county assembly shall act as county governor.
(5) If a vacancy occurs in the circumstances contemplated by clause (4), an election to the office of county governor shall be held within sixty days after the speaker assumes the office of county governor.
(6) A person who assumes the office of county governor under this Article shall, unless otherwise removed from office under this Constitution, hold office until the newly elected county governor assumes office following the next election held under Article 180 (1).

Functions of County Executive Committees

183. (1) A county executive committee shall—
   (a) Implement county legislation;
   (b) Implement, within the county, national legislation to the extent that the legislation so requires;
   (c) Manage and coordinate the functions of the county administration and its departments; and
   (d) Perform any other functions conferred on it by this Constitution or national legislation.

(2) A county executive committee may prepare proposed legislation for consideration by the county assembly.

(3) The county executive committee shall provide the county assembly with full and regular reports on matters relating to the county.

Urban Areas and Cities

184. (1) National legislation shall provide for the governance and management of urban areas and cities and shall, in particular—
   (a) Establish criteria for classifying areas as urban areas and cities,
   (b) Establish the principles of governance and management of urban areas and cities; and
   (c) Provide for participation by residents in the governance of urban areas and cities.

(2) National legislation contemplated in clause (1) may include mechanisms for identifying different categories of urban areas and cities, and for their governance.

Legislative Authority of county assemblies

185. (1) The legislative authority of a county is vested in, and exercised by, its county assembly.

(2) A county assembly may make any laws that are necessary for, or incidental to, the effective performance of the functions and exercise of the powers of the county government under the Fourth Schedule.

(3) A county assembly, while respecting the principle of the separation of powers, may exercise oversight over the county executive committee and any other county executive organs.

(4) A county assembly may receive and approve plans and policies for—
   (a) The management and exploitation of the county’s resources; and
   (b) The development and management of its infrastructure and institutions.

PART 3—FUNCTIONS AND POWERS OF COUNTY GOVERNMENTS

Respective functions and powers of national and county governments

186. (1) Except as otherwise provided by this Constitution, the functions and powers of the national government and the county governments, respectively, are as set out in the Fourth Schedule.

(2) A function or power that is conferred on more than one level of government is a function or power within the concurrent jurisdiction of each of those levels of government.
(3) A function or power not assigned by this Constitution or national legislation to a county is a function or power of the national government.
(4) For greater certainty, Parliament may legislate for the Republic on any matter.

Transfer of functions and powers between levels of government

187. (1) A function or power of government at one level may be transferred to a government at the other level by agreement between the governments if—
   (a) The function or power would be more effectively performed or exercised by the receiving government; and
   (b) The transfer of the function or power is not prohibited by the legislation under which it is to be performed or exercised.
(2) If a function or power is transferred from a government at one level to a government at the other level—
   (a) Arrangements shall be put in place to ensure that the resources necessary for the performance of the function or exercise of the power are transferred; and
   (b) Constitutional responsibility for the performance of the function or exercise of the power shall remain with the government to which it is assigned by the Fourth Schedule.

PART 4—THE BOUNDARIES OF COUNTIES

Boundaries of Counties

188. (1) The boundaries of a county may be altered only by a resolution—
   (a) Recommended by an independent commission set up for that purpose by Parliament; and
   (b) Passed by—
      (i) The National Assembly, with the support of at least two-thirds of all of the members of the Assembly; and
      (ii) The Senate, with the support of at least two-thirds of all of the county delegations.
(2) The boundaries of a county may be altered to take into account—
   (a) Population density and demographic trends;
   (b) Physical and human infrastructure;
   (c) Historical and cultural ties;
   (d) The cost of administration;
   (e) The views of the communities affected;
   (f) The objects of devolution of government; and
   (g) Geographical features.

PART 5- Relationships between governments

Cooperation between national and county government

189. (1) Government at either level shall—
   (a) perform its functions, and exercise its powers, in a manner that respects the functional and institutional integrity of government at the other level, and respects the constitutional status and institutions of government at the other level and, in the case of county government, within the county level;
   (b) Assist, support and consult and, as appropriate, implement the legislation of the other level of government; and
(c) Liaise with government at the other level for the purpose of exchanging information, coordinating policies and administration and enhancing capacity.

(2) Government at each level, and different governments at the county level, shall co-operate in the performance of functions and exercise of powers and, for that purpose, may set up joint committees and joint authorities.

(3) In any dispute between governments, the governments shall make every reasonable effort to settle the dispute, including by means of procedures provided under national legislation.

(4) National legislation shall provide procedures for settling inter-governmental disputes by alternative dispute resolution mechanisms, including negotiation, mediation and arbitration.

Support for County Governments

190. (1) Parliament shall by legislation ensure that county governments have adequate support to enable them to perform their functions.

(2) County governments shall operate financial management systems that comply with any requirements prescribed by national legislation.

(3) Parliament shall, by legislation, provide for intervention by the national government if a county government—

(a) Is unable to perform its functions; or

(b) Does not operate a financial management system that complies with the requirements prescribed by national legislation.

4) Legislation under clause (3) may, in particular, authorise the national government—

(a) To take appropriate steps to ensure that the county government’s functions are performed and that it operates a financial management system that complies with the prescribed requirements; and

(b) If necessary, to assume responsibility for the relevant functions.

(5) The legislation under clause (3) shall—

(a) Require notice to be given to a county government of any measures that the national government intends to take;

(b) Permit the national government to take only measures that are necessary;

(c) Require the national government, when it intervenes, to take measures that will assist the county government to resume full responsibility for its functions; and

(d) Provide for a process by which the Senate may bring the intervention by the national government to an end.

Conflict of Laws

191. (1) This Article applies to conflicts between national and county legislation in respect of matters falling within the concurrent jurisdiction of both levels of government.

(2) National legislation prevails over county legislation if—

(a) The national legislation applies uniformly throughout Kenya and any of the conditions specified in clause (3) is satisfied; or

(b) The national legislation is aimed at preventing unreasonable action by a county that—

(i) Is prejudicial to the economic, health or security interests of Kenya or another county; or

(ii) Impedes the implementation of national economic policy.
The following are the conditions referred to in clause (2) (a) (a) the national legislation provides for a matter that cannot be regulated effectively by legislation enacted by the individual counties;

(b) The national legislation provides for a matter that, to be dealt with effectively, requires uniformity across the nation, and the national legislation provides that uniformity by establishing—

(i) Norms and standards; or
(ii) National policies; or

(c) The national legislation is necessary for—

(i) The maintenance of national security;
(ii) The maintenance of economic unity;
(iii) The protection of the common market in respect of the mobility of goods, services, capital and labour;
(iv) The promotion of economic activities across county boundaries;
(v) The promotion of equal opportunity or equal access to government services; or
(vi) The protection of the environment.

(4) County legislation prevails over national legislation if neither of the circumstances contemplated in clause (2) apply.

(5) In considering an apparent conflict between legislation of different levels of government, a court shall prefer a reasonable interpretation of the legislation that avoids a conflict to an alternative interpretation that results in conflict.

(6) A decision by a court that a provision of legislation of one level of government prevails over a provision of legislation of another level of government does not invalidate the other provision, but the other provision is inoperative to the extent of the inconsistency.

192. (1) The President may suspend a county government—

(a) In an emergency arising out of internal conflict or war; or
(b) In any other exceptional circumstances.

(2) A county government shall not be suspended under clause (1) (b) unless an independent commission of inquiry has investigated allegations against the county government, the President is satisfied that the allegations are justified and the Senate has authorised the suspension.

(3) During a suspension under this Article, arrangements shall be made for the performance of the functions of a county government in accordance with an Act of Parliament.

(4) The Senate may at any time terminate the suspension.

(5) A suspension under this Article shall not extend beyond a period of ninety days.

(6) On the expiry of the period provided for under clause (5), elections for the relevant county government shall be held.

PART 7—GENERAL

Qualifications for election as member of county assembly

193. (1) Unless disqualified under clause (2), a person is eligible for election as a member of a county assembly if the person—

(a) Is registered as a voter;
(b) Satisfies any educational, moral and ethical requirements prescribed by this Constitution or an Act of Parliament; and
(c) Is either—
   (i) Nominated by a political party; or
   (ii) an independent candidate supported by at least five hundred registered voters in the ward concerned.

(2) A person is disqualified from being elected a member of a county assembly if the person—
   (a) Is a State officer or other public officer, other than a member of the county assembly;
   (b) Has, at any time within the five years immediately before the date of election, held office as a member of the Independent Electoral and Boundaries Commission;
   (c) Has not been a citizen of Kenya for at least the ten years immediately preceding the date of election;
   (d) Is of unsound mind;
   (e) Is an undischarged bankrupt;
   (f) Is serving a sentence of imprisonment of at least six months; or
   (g) Has been found, in accordance with any law, to have misused or abused a State office or public office or to have contravened Chapter Six.

(3) A person is not disqualified under clause (2) unless all possibility of appeal or review of the relevant sentence or decision has been exhausted.

**Vacation of office of member of county assembly**

194. (1) The office of a member of a county assembly becomes vacant—
   (a) If the member dies;
   (b) If the member is absent from eight sittings of the assembly without permission, in writing, of the speaker of the assembly, and is unable to offer satisfactory explanation for the absence;
   (c) If the member is removed from office under this Constitution or legislation enacted under Article 80;
   (d) If the member resigns in writing addressed to the speaker of the assembly;
   (e) If, having been elected to the assembly—
      (i) as a member of a political party, the member resigns from the party, or is deemed to have resigned from the party as determined in accordance with the legislation contemplated in clause (2); or
      (ii) As an independent candidate, the member joins a political party;
   (f) At the end of the term of the assembly; or
   (g) If the member becomes disqualified for election on grounds specified in Article 193 (2).

(2) Parliament shall enact legislation providing for the circumstances under which a member of a political party shall be deemed, for the purposes of clause (1) (e), to have resigned from the party.

**County Assembly power to summon witnesses**

195. (1) A county assembly or any of its committees has power to summon any person to appear before it for the purpose of giving evidence or providing information.
(2) For the purposes of clause (1), an assembly has the same powers as the High Court to—
   (a) Enforce the attendance of witnesses and examining them on oath, affirmation or otherwise;
   (b) Compel the production of documents; and
   (c) Issue a commission or request to examine witnesses abroad.
Public Participation and county assembly powers, privileges and immunities

196. (1) A county assembly shall—
   (a) Conduct its business in an open manner, and hold its sittings and those of its committees, in public; and
   (b) Facilitate public participation and involvement in the legislative and other business of the assembly and its committees.

   (2) A county assembly may not exclude the public, or any media, from any sitting unless in exceptional circumstances the speaker has determined that there are justifiable reasons for doing so.

   (3) Parliament shall enact legislation providing for the powers, privileges and immunities of county assemblies, their committees and members.

County Assembly gender balance and diversity

197. (1) Not more than two-thirds of the members of any county assembly or county executive committee shall be of the same gender.

   (2) Parliament shall enact legislation to—
       (a) Ensure that the community and cultural diversity of a county is reflected in its county assembly and county executive committee; and
       (b) Prescribe mechanisms to protect minorities within counties.

County Government during transition

198. While an election is being held to constitute a county assembly under this Chapter, the executive committee of the county, as last constituted remains competent to perform administrative functions until a new executive committee is constituted after the election.

Publication of county legislation

199. (1) County legislation does not take effect unless published in the Gazette.

   (2) National and county legislation may prescribe additional requirements in respect of the publication of county legislation.

Legislation on chapter

200. (1) Parliament shall enact legislation providing for all matters necessary or convenient to give effect to this Chapter.

   (2) In particular, provision may be made with respect to—
       (a) The governance of the capital city, other cities and urban areas;
       (b) The transfer of functions and powers by one level of government to another, including the transfer of legislative powers from the national government to county governments;
       (c) The manner of election or appointment of persons to, and their removal from, offices in county governments, including the qualifications of voters and candidates;
       (d) The procedure of assemblies and executive committees including the chairing and frequency of meetings, quorums and voting; and
       (e) The suspension of assemblies and executive committees.
CHAPTER TWELVE—PUBLIC FINANCE

Principles of Public Finance

201. The following principles shall guide all aspects of public finance in the Republic—
   (a) There shall be openness and accountability, including public participation in financial matters;
   (b) The public finance system shall promote an equitable society, and in particular—
      (i) The burden of taxation shall be shared fairly;
      (ii) Revenue raised nationally shall be shared equitably among national and county governments; and
      (iii) Expenditure shall promote the equitable development of the country, including by making special provision for marginalised groups and areas;
   (c) The burdens and benefits of the use of resources and public borrowing shall be shared equitably between present and future generations;
   (d) Public money shall be used in a prudent and responsible way; and
   (e) Financial management shall be responsible, and fiscal reporting shall be clear.

CHAPTER THIRTEEN—THE PUBLIC SERVICE

VALUES AND PRINCIPLES OF PUBLIC SERVICE

232. (1) The values and principles of public service include—
   (a) High standards of professional ethics;
   (b) Efficient, effective and economic use of resources;
   (c) Responsive, prompt, effective, impartial and equitable provision of services
   (d) Involvement of the people in the process of policy making;
   (e) Accountability for administrative acts;
   (f) Transparency and provision to the public of timely, accurate information;
   (g) subject to paragraphs (h) and (i), fair competition and merit as the basis of appointments and promotions;
   (h) Representation of Kenya’s diverse communities; and
   (i) Affording adequate and equal opportunities for appointment, training and advancement, at all levels of the public service, of—
      (i) Men and women;
      (ii) The members of all ethnic groups; and
      (iii) Persons with disabilities.

(2) The values and principles of public service apply to public service in—
   (a) All State organs in both levels of government; and
   (b) All State corporations.

(3) Parliament shall enact legislation to give full effect to this Article.
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